



# Live the Legacy. Protect the Future.

COVID-19 Vaccine Development: Where do we go from here?  
Jonathan Hartzel, Merck & Co., Inc., North Wales, PA, USA

<https://www.nytimes.com/2020/12/11/health/pfizer-vaccine-authorized.html>

## ***F.D.A. Clears Pfizer Vaccine, and Millions of Doses Will Be Shipped Right Away***

An initial shipment of about 2.9 million doses of the vaccine will be sent around the United States over the next week.

By **Katie Thomas, Sharon LaFraniere, Noah Weiland, Abby Goodnough and Maggie Haberman**

Published Dec. 11, 2020 Updated Jan. 8, 2021

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<https://www.cnbc.com/2020/12/18/moderna-covid-vaccine-approved-fda-for-emergency-use.html>

HEALTH AND SCIENCE

## **FDA approves second Covid vaccine for emergency use as it clears Moderna's for U.S. distribution**

PUBLISHED FRI, DEC 18 2020-7:38 PM EST | UPDATED FRI, DEC 18 2020-7:59 PM EST



**Berkeley Lovelace Jr.**  
@BERKELEYJR

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## **Johnson & Johnson hopes to submit emergency use authorization application next month for its vaccine**

By **News 12 Staff** | Jan 05, 2021, 12:41pm EST | Updated on: Jan 05, 2021, 12:41pm EST

<https://bronx.news12.com/johnson-and-johnson-hopes-to-submit-emergency-use-authorization-application-next-month-for-its-vaccine>



# Where do we go from here?

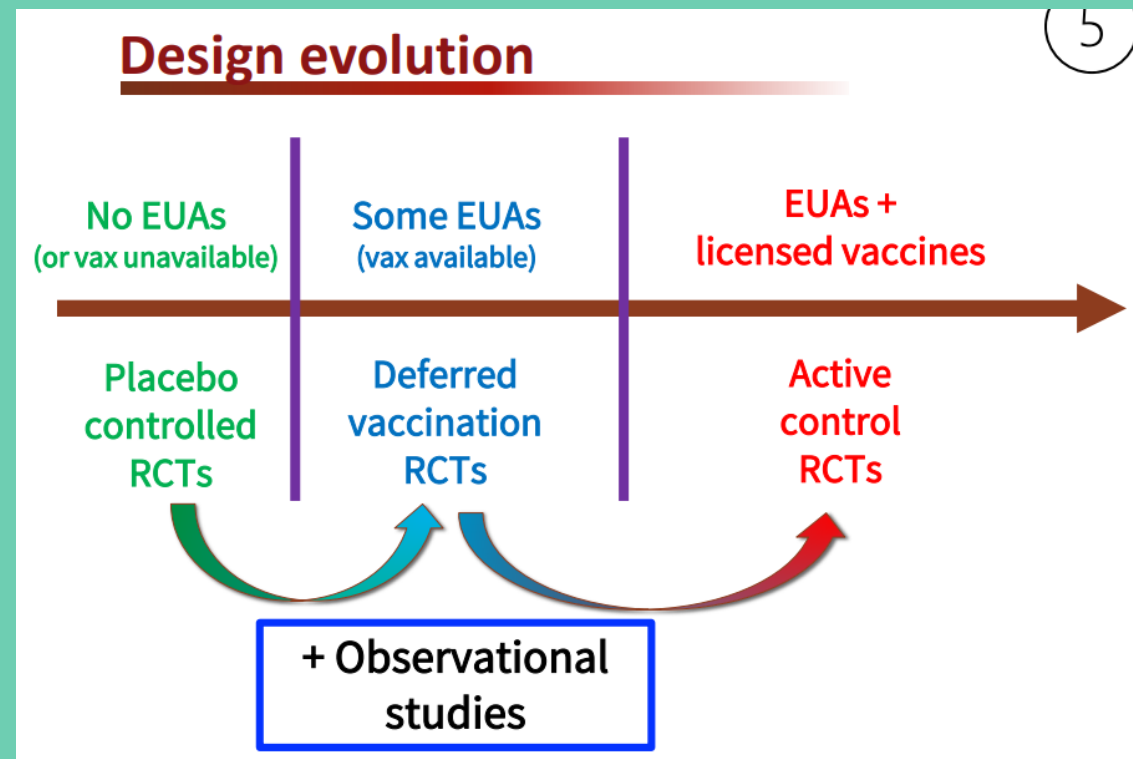
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- Despite two highly efficacious vaccines, the development of additional COVID-19 vaccines needs to continue:
  - One-dose vaccine
  - Durability of protection?
  - Safety profile
  - Storage characteristics (-70°C, -20°C, refrigerator stable?)
  - Supply challenges
- How should future efficacy trials be designed?

# Pfizer/Moderna VRBPAC Discussions

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- Steven Goodman<sup>†</sup> “Considerations for placebo-controlled trial design if an unlicensed vaccine becomes available”



<sup>†</sup> Assoc. Dean, Clinical and Translational Research Professor of Epidemiology & Population Health and Medicine, Stanford U.

<https://www.fda.gov/media/144354/download> <https://www.fda.gov/media/144582/download>

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# Pfizer/Moderna VRBPAC Discussions

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HEALTH

## Pfizer and BioNTech speed up timeline for offering Covid-19 vaccine to placebo volunteers

By MATTHEW HERPER @matthewherper / JANUARY 1, 2021

[Reprints](#)

<https://www.statnews.com/2021/01/01/pfizer-and-biontech-speed-up-timeline-for-offering-covid-19-to-placebo-volunteers/>

+ Observational  
studies

† Assoc. Dean, Clinical and Translational Research Professor of Epidemiology & Population Health and Medicine, Stanford U.

<https://www.fda.gov/media/144354/download> <https://www.fda.gov/media/144582/download>

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# Blinded, Placebo-Controlled Trials

- “Gold-standard” design – provides the most reliable and unbiased information
- Operationally challenging once vaccines become available.
- What about ethics? “No Bright Lines” (Goodman)

## **Ethical implications of COVID risks**

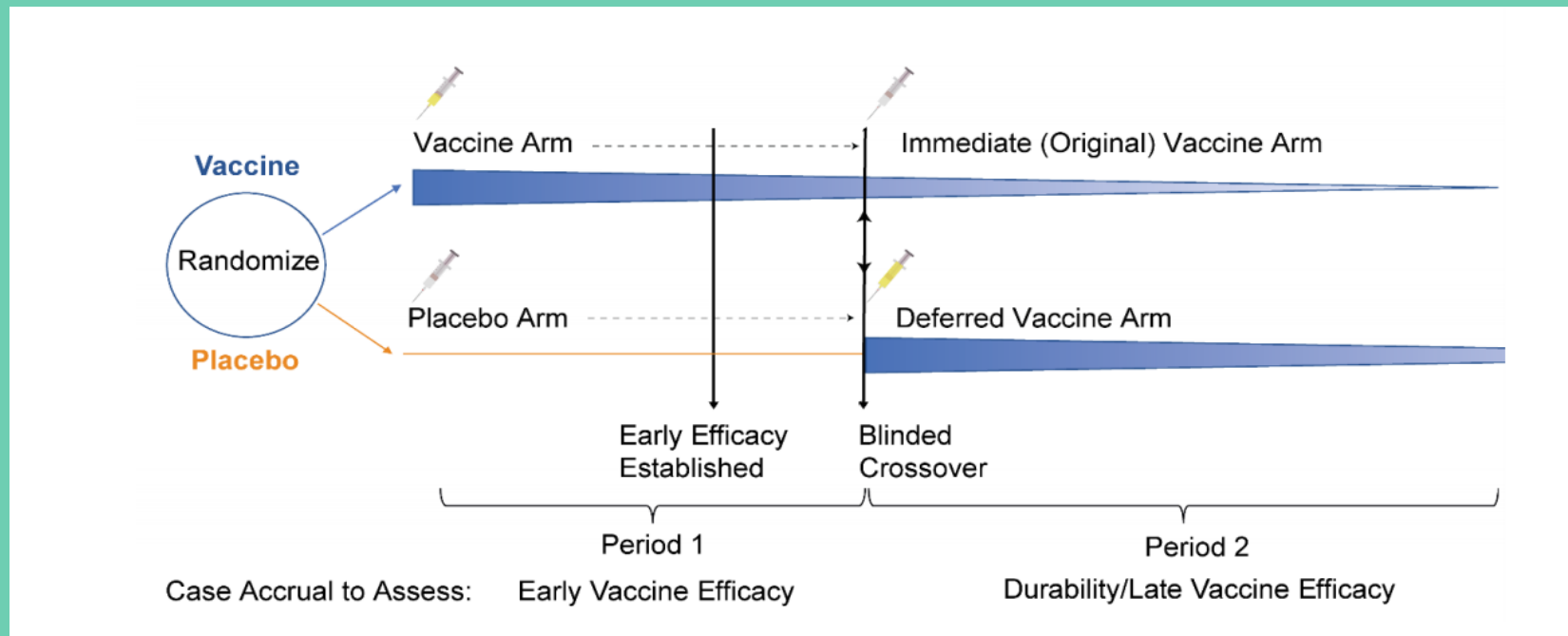
- Maximum 6 mo. vaccine benefit  $\approx$  1/200 reduction in serious disease and  $\approx$  1/1000 reduction in death.
- This degree of benefit is far lower than the effect of most therapeutics for which we routinely allow placebo-controlled trials, as can be seen in meta-analyses.
- Also, w/o a vaccine many people can lower their risk of infection and thereby lower their COVID risks.

- Therefore placebo-controlled vaccine trials are ethically permissible as long as there are still important things to learn, whose importance are amplified by the pandemic. Such trials may be *infeasible*, but they are not “unethical”.

# Delayed Vaccination Trials

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- Blinded, randomized, placebo-controlled study with crossover between vaccine and placebo arm<sup>†</sup>



<sup>†</sup> Follmann et al., "Assessing Durability of Vaccine Effect Following Blinded Crossover in COVID-19 Vaccine Efficacy Trials", [www.medrxiv.org/content/10.1101/2020.12.14.20248137v1](https://www.medrxiv.org/content/10.1101/2020.12.14.20248137v1)

# Delayed Vaccination Trials (cont)

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- The Period following crossover can provide assessments of:
  - Durability
  - Waning efficacy
  - Enhanced disease
  - Long-term safety
- Longer pre-crossover period (greater case-counts) will provide better estimates of efficacy in the post-crossover period.



# Delayed Vaccination Trials (cont)

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- Important to treat participants in both groups the same by “vaccinating” each at the crossover in a blinded manner.
  - Participant behavior could change if they know they are getting the vaccine.
  - Assessment of symptoms could be biased if vaccination status is known.

# Delayed Vaccination Trials (cont)

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- A compromise from the “gold standard” that still allows for assessment of durability, safety, and CoP after the blinded crossover.
- Still carries ethical considerations and operational challenges as vaccines become publicly available.

# Active Controlled Efficacy Trials (Non-inferiority)

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- The June 2020 FDA COVID-19 guidance states:

- If the availability of a COVID-19 vaccine proven to be safe and effective precludes ethical inclusion of a placebo control group, that vaccine could serve as the control treatment in a study designed to evaluate efficacy with non-inferiority hypothesis testing.

- For non-inferiority comparison to a COVID-19 vaccine already proven to be effective, the statistical success criterion should be that the lower bound of the appropriately alpha-adjusted confidence interval around the primary relative efficacy point estimate is  $>-10\%$ .



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# Active Controlled Efficacy Trials (Non-inferiority)

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- Statistical criteria for placebo-controlled efficacy trials:
  - $H_0: VE_P \leq 30\%$ ,
  - VE point estimate of at least 50%.
- Statistical criterion for active-controlled efficacy trials:
  - $H_0: VE_{AC} \leq \delta = -10\%$ ,
  - where  $\delta$  is the non-inferiority margin.
- $VE_{AC} = 0\%$  implies that  $VE_P$  for the test vaccine is the same as the  $VE_P$  for the control vaccine.
- What about  $\delta < 0\%$ ?

# Test VE Relative to Placebo

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Test VE Relative to Control Vaccine	Control VE Relative to Placebo				
	55%	60%	70%	80%	90%
20%	64.0%	68.0%	76.0%	84.0%	92.0%
15%	61.8%	66.0%	74.5%	83.0%	91.5%
10%	59.5%	64.0%	73.0%	82.0%	91.0%
5%	57.3%	62.0%	71.5%	81.0%	90.5%
0%	55.0%	60.0%	70.0%	80.0%	90.0%
-10%	50.5%	56.0%	67.0%	78.0%	89.0%
-25%	43.8%	50.0%	62.5%	75.0%	87.5%
-50%	32.5%	40.0%	55.0%	70.0%	85.0%
-75%	21.3%	30.0%	47.5%	65.0%	82.5%

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# Total Cases Needed for 90% Power

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NI Margin Relative to Control Vaccine	Assumed Control VE Relative to Active Vaccine				
	0%	5%	10%	15%	20%
-10%	4700	2000	1100	650	430
-25%	870	580	400	300	220
-50%	280	220	180	140	120
-75%	150	120	110	90	70

# Active Controlled Efficacy Trials (Non-inferiority)

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- Sample Size considerations:
  - Pfizer incidence in the Vaccine arm: 3.6 cases/1000 pyrs
  - 150 cases would require ~42,000 person-years, assuming 0%  $VE_{AC}$ 
    - 42,000 participants followed for one year
    - 63,000 participants followed for 8 months
    - 84,000 participants followed for 6 months

# Active Controlled Efficacy Trials (Non-inferiority)

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- Challenges for NI
  - Assumption for the  $VE_{AC}$
  - Assumption for the Active Control incidence (possible declining attack rate?)
  - Justification for NI margin
    - Depends on  $VE_{AC}$
    - Single-dose versus two-dose vaccines

# Active Controlled Immunogenicity Trials

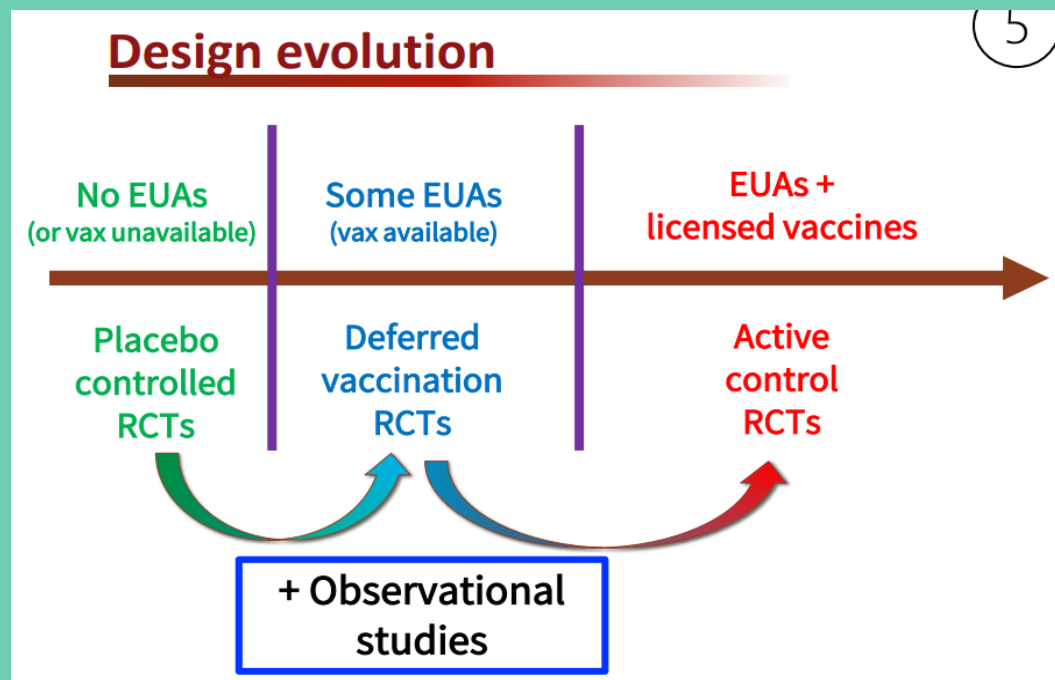
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- If a correlate of protection (CoP) can be identified, non-inferiority immunogenicity trials could be considered.
  - Size of trials are much more manageable.
- Identifying a CoP could be challenging given the high efficacy of the current vaccines.
- Joint effort in combining data from the various studies will be needed.
- Can a CoP derived from one vaccine platform be applicable for another platform?

# Future of COVID-19 Trials

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- While broad categories can be defined, many SPONSORS will have development programs that span the categories.
- Continued development will be challenging and require flexibility in both program design as well as regulatory review.



THANK YOU

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