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# Table Servers: Protecting Confidentiality in Tabular Data Releases

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**Introduction.** Federal statistical agencies must balance concern over confidentiality of data with their obligation to report information to the public [5]. Advances in information technology threaten confidentiality, but also new technologies can protect confidentiality while meeting user needs in innovative ways.

Here we describe *table servers* being developed by the National Institute of Statistical Sciences (NISS) that disseminate tabular summaries of statistical data in response to user queries for marginal sub-tables of a large (e.g., 40 dimensions with 4 categories each) contingency table containing counts or sums. Table servers *evaluate disclosure risk dynamically*, in light of previously answered queries.

**Abstractions.** The query space  $\mathcal{Q}$ , which contains all  $2^K$  sub-tables of a  $K$ -way table, is partially ordered by set inclusion of variables in subtables. The set  $\mathcal{R}(t)$  of all tables released through some time  $t$  contains direct releases in response to queries and indirect releases (previously unreleased children of direct releases);  $\mathcal{R}(t)$  is specified by the *released frontier*  $\mathcal{RF}(t)$  of its maximal elements (Figure 2).

Underlying dynamic release decisions is a *risk criterion*  $\mathbf{RC}$  defined on subsets of  $\mathcal{Q}$ : at all times the system must satisfy  $\mathbf{RC}(\mathcal{R}(t)) \leq \alpha$ , where  $\alpha$  is a risk threshold set by the operators. A typical risk criterion is accuracy of bounds based on  $\mathcal{R}(t)$  for sensitive (small count) cells in the full table. Bounds can be computed using network methods [3, 9] and the “shuttle algorithm” [2]. There are also exact techniques for special cases. For example, if the released sub-tables constitute the minimal sufficient statistics of a decomposable graphical model [8] (Figure 1), then bounds can be expressed as *explicit* functions of these sub-tables [4].

Whenever an answered query releases previously unreleased information, other queries become unanswerable. Consequently (Figure 2), at  $t$  there is an *unreleasable set*  $\mathcal{U}(t)$  of sub-tables whose release would be too risky, with an *unreleasable frontier*  $\mathcal{UF}(t)$  of its minimal elements.

*Release rules* determine which requests for unreleased tables will be fulfilled. The simplest is the *myopic rule* of releasing  $T$  at  $t$  as long as  $\mathbf{RC}(\mathcal{R}(t) \cup T) \leq \alpha$ . To prevent the table server from taking excessively large steps, one can allow only tables adding but one variable to a previously released table to be eligible for release. To prevent a single user (or a set of colluding users) from driving the table server into a region of  $\mathcal{Q}$  that suits their needs but not those of other users, release rules can be biased against releases that add large numbers of tables to  $\mathcal{U}(t)$ . Rules can also incorporate the *value* of releasing  $T$  [6, 12].

**System Design and Prototypes.** A prototype table server, written as a Java application, is shown in Figure 2. Its principal strength is the engaging (but non-scalable) visualization of  $\mathcal{Q}$ .

Figure 5 shows the architecture of a more powerful table server written using the Java 2 Enterprise Edition [10] platform, with HTTP processing performed by Java Servlets [11]. This prototype uses a 14-dimensional, 300,000,000-cell, but extremely sparse, table derived from the Current Population Survey [1].

Figure 3 shows the user input screen. If the requested table lies on or below  $\mathcal{RF}(t)$ , it is provided immediately, ordinarily via downloaded XML. Releases are governed by the myopic and “at most one step away from  $\mathcal{R}(t)$ ” rules, and disclosure risk is evaluated in real time. The query history database, with tables for users, queries and the time trajectories of  $\mathcal{RF}(t)$  and  $\mathcal{UF}(t)$ , is maintained in a MySQL database server [13]. A frontier display facility (Figure 4) monitors evolution of  $\mathcal{RF}(t)$ .

The system employs data structures based on hash tables for storing tables and algorithms that exploit sparsity and the fact that  $\mathcal{R}(t)$  and  $\mathcal{U}(t)$  are characterized completely by  $\mathcal{RF}(t)$  and  $\mathcal{UF}(t)$ . The risk criterion is narrowness of cell bounds computed via a generalized shuttle algorithm.

## References

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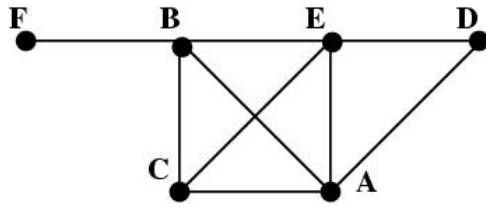


Figure 1: Independence graph associated with three marginals [BF], [ABCE] and [ADE] of a six-way table [ABCDEF], visualizing the dependency patterns induced by the released marginals. The graphical model with minimal sufficient statistics [BF], [ABCE] and [ADE] is decomposable.

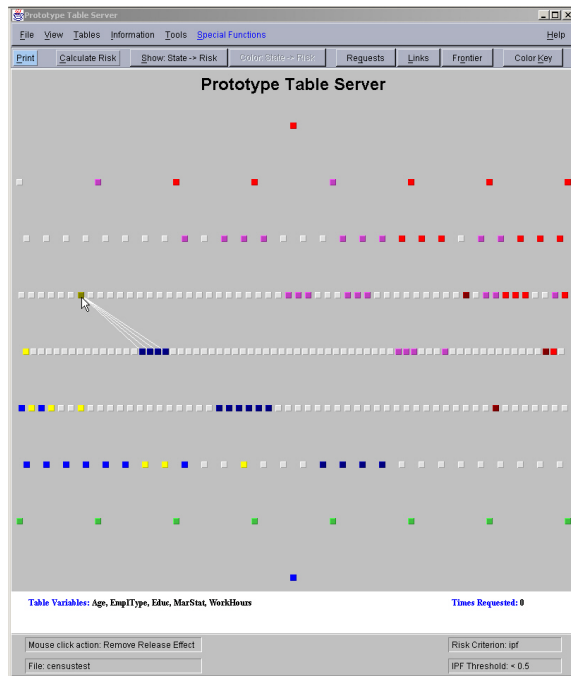


Figure 2: Java table server prototype. The visualization of the query space  $\mathcal{Q}$  shows direct releases (yellow), indirect releases (blue), unacceptably risky releases (red) and the potential effect (dark blue, magenta and dark red) of releasing the 5-way table indicated by the cursor. The released (unreleasable) frontier lies at the top of the lower left (bottom of the upper right) portion of the visualization.



Figure 3: Table server prototype: User input screen. Queries are posed by selecting the variables in the desired sub-table.

Netscape: NISS Table Server: Frontier Display

File Edit View Go Communicator Help

Back Forward Reload Home Search Netscape Print Security Shop Stop

Bookmarks Location File:/home/ul/ashish/D0/CACM/FrontierShow.html

### National Institute of Statistical Sciences

#### Table Server: Release Frontier

Age	Work Class	Education	Marital Status	Industry	Occupation	Race	Sex	Tax Status	Home Summary	Citizenship	Employment	Year	Salary
X	X	X	.	.	.	.	.	.	.	.	.	.	.
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.	X	.	X	.	.	.	.	.	.	.	.	.	.

100%

Figure 4: Table server prototype: Released frontier display meant for system operators. The display lists the sub-tables comprising  $\mathcal{R}(t)$ .

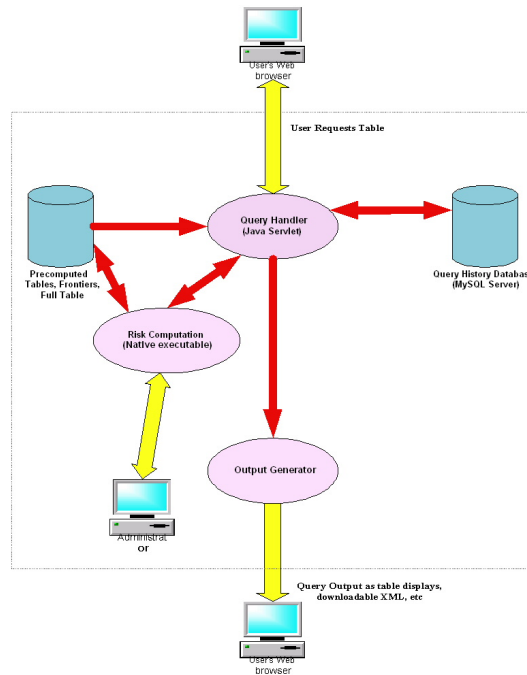


Figure 5: Table server prototype: System architecture. Output formats include screen display and XML.